

North Carolina Department of Insurance

Uniform Application To Participate as a Health Care Practitioner

Note: Please send completed applications <u>directly</u> to the organizations with which you seek to contract.

The following application is a form approved by the North Carolina Department of Insurance, in accordance with North Carolina General Statute 58-3-230. Every insurer that provides a health benefit plan and credentials providers for its network is required to use this form and the insurer may not require an applicant to submit information that is not required by this form Only the Commissioner of Insurance is authorized to make changes, deletions or additions to this form.

INSTRUCTIONS

Before submitting the Application, make sure you have completed the following:

Include an answer in <u>all</u> spaces. Indicate "N/A", if the question is not applicable.

The provider has signed and dated the last page of the Application.

Before submitting the Application, make sure you have enclosed the following, if applicable:

Copy of the provider's <u>original</u> state(s) license(s) and current registration.

Copy of <u>current DEA</u> certificate. (Must have a valid date and refer to current address.)

Copy of South Carolina Controlled Drug Substance Certificate and DEA information.

Copy of the face sheet of your <u>current</u> professional liability insurance policy, indicating by name, provider(s) covered, coverage amounts, effective date, expiration date, and policy number. Attach previous carrier face sheet.

Proof of professional liability insurance for non-physician providers who care for patients in your practice. Copy of certificate from the Specialty Board.

Copy of Educational Commission of Foreign Medical Graduate Certificate- ECFMG.

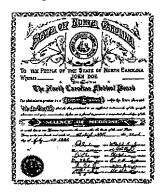
Letter(s) of reference, recommendation, and/or oversight, if required.

Copy of Curriculum Vitae or work history after graduation from Medical, Dental or other professional school (CV must account for any gaps of 90 days or more).

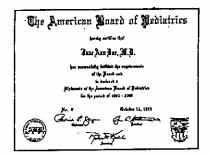
Copy of CLIA (Clinical Laboratory Improvement Amendments) /ACR (American College of Radiology). Copy of W-9 Form.

Examples of documentation to attach to this application:

Original N.C. License



Board Certification



DEA Registration

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Certificate of Insurance

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Medical Board Registration



A. DEMOGRAPHIC AND PERSONAL DATA:

1. Name of Applicant: (First Name) (Last Name) (Middle Name) (Maiden) 2. **Date of Birth:** xx/xx/xxxx Place of Birth: Social Security Number: xxx-xx-xxxx Male Female Sex: 3. **Type of Practice:** Primary Care: 🗌 Specialist: (Primary Specialty) (Secondary Specialty) **Please Identify Areas of Clinical Expertise:** What population(s) do you treat (e.g. geriatric, all ages):

4. Name of Practice:

5. Primary Office Address (If you maintain more than one office, list each office, address, and hours of operation)

| Practice Name: | | | | | | |
|--------------------------------|----------------|-----------|--|-----------------|-----------|---------------|
| Address: | | | | | | |
| (Street | t) | | (City) | (C | ounty) (S | State) (Zip) |
| Handicapped A | ccessible? YES | □ NO □ | Office Phone: xx | x-xxx-xxxx/xxxx | Fax: xxx- | xxx-xxxx/xxxx |
| E-mail address: | : | | | | | |
| Accepting New | Patients? YES | □ NO □ | Restrictions: (Please list or indicat | e none) | | |
| Office Hours: | 1 | 1 | | | | 1 |
| Monday | Tuesday | Wednesday | Thursday | Friday | Saturday | Sunday |
| | | | | | | |
| | | | | | | |
| Secondary Offic | ce Address | | | | | |
| Practice Name: | : | | | | | |
| Address: | | | | | | |
| (Street | t) | | (City) | (C | ounty) (S | State) (Zip) |
| Handicapped A | ccessible? YES | □ NO □ | Office Phone: xx | x-xxx-xxxx/xxxx | Fax: xxx- | xxx-xxxx/xxxx |
| E-mail address: | : | | | | | |
| | | | Restrictions: | | | |
| Accepting New | Patients? YES | | (Please list or indicat | e none) | | |
| Accepting New Office Hours: | Patients? YES | | (Please list or indicat | e none) | | |

DEMOGRAPHIC AND PERSONAL DATA (Continued) A.

| Additional Offi | ce Address or Bill | ing Address, if d | ifferent (check one | e) 🗌 Billing | Office | |
|----------------------|--------------------|-------------------|---|-----------------|----------|------------------|
| Name: | | | | | | |
| Address: | | | | | | |
| (Street |) | | (City) | (| (County) | (State) (Zip) |
| Handicapped A | ccessible? YES | □ NO □ | Office Phone: xx | xx-xxx-xxxx/xxx | x Fax: x | xx-xxx-xxxx/xxxx |
| Accepting New | Patients? YES | □ NO □ | Restrictions: (Please list or indica | te none) | | |
| Office Hours: | | | | | | |
| Monday | Tuesday | Wednesday | Thursday | Friday | Saturday | Sunday |
| | | | | | | |

Name other provider(s) in your practice (if not enough space, please attach additional sheet): 6.

7. Do nurse practitioners, physician assistants, midwives, social workers, or other non-physician providers provide care to patients in your practice? YES 🗌 NO 🗌 (If yes, please attach proof of professional liability insurance and proof of employment for those individuals)

| 8. | 8. Name and address of provider(s) who share call with you (if not enough space, please attach additional sheet): | | | |
|----|---|----------|--|--|
| | Name: | Name: | | |
| | | | | |
| | Address: | Address: | | |
| | | | | |
| | | | | |
| | | | | |

9. Arrangements for 24 hour/7 day coverage:

| 10. | Administrative Contact: | | xxx-xxx-xxx/xxxx |
|-----|-------------------------|---------|------------------|
| | (Name) | (Title) | (Telephone) |

11. IRS requires reimbursement be made payable to name of practice affiliated with Federal Tax ID Number:

| Name (if different from practice | name): | |
|--|---------------------------|---|
| Billing Address (if different from | practice address): | |
| | • | |
| | | |
| | | |
| | | |
| UPIN Number: | Medicare/Medicaid Number: | 1 |
| UPIN Number: National Provider Identifier (NP | | 1 |

13. (Attach copy to application) Exp. Date:

12.

A. DEMOGRAPHIC AND PERSONAL DATA (Continued)

COMPLETE ONLY IF LICENSED IN SOUTH CAROLINA

SC Controlled Drug Substance Certificate:

(Attach a copy to application)

Expiration Date:

14. **Provide the following information for each state in which you are currently or were previously licensed to Practice** (If not enough space please attach additional sheet)

| STATE | DATE OF LICENSE | LICENSE NUMBER | STATUS Active, Inactive, Suspended | EXPIRATION DATE |
|-------|-----------------|----------------|---------------------------------------|--------------------|
| | xx/xx/xxxx | | | xx/xx/xxxx |

PLEASE ATTACH A COPY OF EACH STATE LICENSE CERTIFICATE

| a. | If you are certified by a specialty board, in- | dicate name of board and date of certificate. | |
|----|--|---|-----------------------------|
| | | Date Certified: xx/xx/xxxx | Exp. Date: xx/xx/xxxx |
| | (Primary Specialty Board) | | |
| | | Date Certified: xx/xx/xxxx | Exp. Date: xx/xx/xxxx |
| | (Secondary Specialty Board) | | |
| | | | |
| b | Are you listed in the American Board of M | edical specialists? YES NO | |
| | | | |
| | If you have applied to a specialty board for | examination, give the name of board and the | date of scheduled examinati |
| c. | | | Date: xx/xx/xxxx |
| c. | | | Date: XX/XX/XXXX |
| c. | | | |

A. DEMOGRAPHIC AND PERSONAL DATA (Continued)

| 16. | List the dates of all <u>current professional memberships</u> in societies, including st | ate and county societies: | |
|-----|--|---------------------------|----|
| | | FROM | ТО |
| | | | |
| | | | |
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17. List all hospitals where you <u>currently</u> have privileges and indicate the type and status of those privileges:

(Type: active, admitting, associate, consulting, courtesy. Status: pending, provisional, suspended, temporary, visiting)

| Hospital | Privilege and Status of Privilege | Estimated % of Admission |
|------------------------------|-----------------------------------|--------------------------|
| (primary admitting facility) | | |
| | | |
| | | |
| | | |

| 18. | If you do not have admitting privileges, who admits for you? | | | | |
|-----|--|---------------------|--|--|--|
| | Name: | Name: | | | |
| | Address: | Address: | | | |
| | Phone: xxx-xxx-xxxx/xxxx | Phone: xxx-xxx/xxxx | | | |

B. EDUCATION AND PRACTICE HISTORY

1. Medical, Dental, or other Professional School Attended:

| Institution: | | | |
|--------------|--------|------------------|----------------|
| | | | |
| Address: | | | |
| (Street) | (City) | | (State) (Zip) |
| | | | · / · · · |
| Degree: | | From: xx/xx/xxxx | To: xx/xx/xxxx |
| | | | |

Please attach Educational Commission of Foreign Medical Graduate Certificate – (ECFMG), if applicable.

2. Internship Institution: Address: (Street) (City) (State) (Zip) Specialty: From: xx/xx/xxxx To: xx/xx/xxxx

| Institution: | | | |
|--------------|--------|--------------|----|
| Address: | | | |
| (Street) | (City) | (State) (Zij | p) |

| Institution: | | | |
|--------------|--------|---------|-------|
| Address: | | | |
| (Street) | (City) | (State) | (Zip) |

B. EDUCATION AND PRACTICE HISTORY (Continued)

5. List work history since beginning of medical, dental, or other professional school; please be specific. (If not enough space, please attach additional sheet)

| (If not chough space, please attach additional sheet) | | |
|---|---------|---------|
| | FROM | ТО |
| (Current Practice) | mm/yyyy | mm/yyyy |
| (Previous Practice) | mm/yyyy | mm/yyyy |
| (Previous Practice) | mm/yyyy | mm/yyyy |
| (Previous Practice) | mm/yyyy | mm/yyyy |
| (Previous Practice) | mm/yyyy | mm/yyyy |

6. List other training and/or education (including CME) within the last three years, if applicable.

7.

Have you involuntarily or voluntarily withdrawn or been suspended from any internship, residency or fellowship training program? Please explain:

8.

Please explain any incident(s) in which you have involuntarily or voluntarily withdrawn your application for appointment, clinical privileges or reappointment before a decision was made by a hospital or healthcare facility's governing board.

Please check yes or no for the following questions. Please complete the attached Supplemental Form for any questions to which you answer "yes". Also <u>please sign and date this application</u>. If this application does not have <u>the provider's signature</u>, it cannot be accepted.

| 1. | Has your license to practice in any jurisdiction ever been limited, restricted, reduced, suspended, voluntarily surrendered, revoked, denied or not renewed; have you ever been reprimanded by a state licensing agency; or are any of these actions pending with respect to your license; are you under investigation by any licensing or regulatory agency? (<i>If yes, please complete Supplemental Question No. 1.</i>) | Y | N |
|-----|---|-----|-----|
| 2. | Has your professional employment or membership in a professional organization ever been subject to disciplinary proceedings, denied, limited, restricted, reduced, suspended, revoked, not renewed, or voluntarily relinquished during or under threat of termination for any reason? (<i>If yes, please complete Supplemental Question No.2.</i>) | Y | N 🗌 |
| 3. | Has your Drug Enforcement Agency registration or other controlled substance authorization ever been limited, restricted, reduced, suspended, revoked, denied, not renewed, or have you voluntarily surrendered or limited your registration during or under the threat of an investigation or are any such actions pending? (<i>If yes, please complete Supplemental Question No.3.</i>) | Y | N |
| 4. | Have you ever been sanctioned or suspended by Medicare or Medicaid? (<i>If yes, please complete Supplemental Question No.4.</i>) | Y | N 🗌 |
| 5. | To your knowledge, have you ever been reported to the National Practitioner Data Bank or the North/South Carolina Board of Medical Examiners? (If yes, please complete Supplemental Question No.5.) | Y | N |
| 6. | Have you ever been convicted of a felony or misdemeanor, or are you under investigation with respect to such conduct? (<i>If yes, please complete Supplemental Question No.6.</i>) | Y | N 🗌 |
| 7. | Has a professional liability claim been assessed against you in the past five years, or are there any professional liability cases pending against you? (<i>If yes, please complete Supplemental Question No.7.</i>) | Y | N |
| 8. | Has any liability insurance carrier canceled, refused coverage, or rated up because of unusual risk or have any procedures been excluded from your coverage? (<i>If yes, please complete Supplemental Question No. 8.</i>) | Y 🗌 | N 🗌 |
| 9. | Have you ever practiced without liability coverage? (<i>If yes, please complete Supplemental Question No.9.</i>) | Y | N 🗌 |
| 10. | Do you currently have any medical, chemical dependency or psychiatric conditions that might adversely affect your ability to practice medicine or surgery or to perform the essential functions of your position? (<i>If yes, please complete Supplemental Question No.10.</i>) | Y | N 🗌 |
| 11. | Have your Hospital and/or Clinic privileges ever been limited, restricted, reduced, suspended, revoked, denied, not renewed, or have you voluntarily surrendered or limited your privileges during or under the threat of an investigation or are any such actions pending? (<i>If yes, please complete Supplemental Question No. 11</i>). | Y | N |

| Provider Name: | Provider ID# |
|----------------|-----------------|
| | (if applicable) |

1. License Limited, Reprimanded, etc.

| List State(s) where action took place: | | |
|--|-----------------|---------------|
| Date(s) License revoked, suspended, etc. | From xx/xx/xxxx | To xx/xx/xxxx |
| Please explain: | | |
| | | |
| | | |
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2. Employment/Membership Suspended, Limited, etc.

| List State(s) where action took place: |
|--|
| List Professional Organization: |
| Please explain: |
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3. Drug Enforcement Agency (DEA) Explanation.

| List State(s) where action took place: | |
|--|--|
| Please explain: | |
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| Provider Name: | Provider ID# |
|----------------|-----------------|
| | (if applicable) |

4. Medicare/Medicaid Sanction Disciplinary Action(s)

| isciplined Action(s): | |
|---|--|
| st State(s): | |
| ate(s) of action. From xx/xx/xxxx To xx/xx/xxxx | |
| ease explain: | |
| | |
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5. National Practitioner Data Bank Report(s)

Please explain the NPDB report (*if you have a copy please attach*):

6. Felony or Misdemeanor

| Did you serve a sentence: Y N | If YES, check how many years: 1 2 3 4 5 6 Other: |
|------------------------------------|--|
| List State(s): | |
| Please explain charge and verdict: | |
| | |
| | |
| | |
| | |

| Provider Name: | Provider ID# |
|----------------|-----------------|
| | (if applicable) |

7. Named in Professional Liability Judgment, Settlement, etc.

Please explain, include dates & amounts:

8. Cancelled, Refused Coverage, etc.

Please list Insurance Carrier(s):

Please explain:

9. Practiced Without Liability Coverage

Please explain:

| Provider Name: | Provider ID# |
|----------------|-----------------|
| | (if applicable) |

10. Medical, Chemical Dependency, or Psychiatric Conditions

| lease explain in detail: | | | |
|--------------------------|--|--|--|
| | | | |
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11. Hospital or Clinic Privileges Revoked, Restricted, etc.

| List Hospital(s): | |
|--|-------------------------------|
| Date privileges revoked, suspended, etc. | From xx/xx/xxxx To xx/xx/xxxx |
| Please explain: | |
| | |
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Attestation Statement

(IMPORTANT: Submit Original Only)

This application is to be signed by each individual provider submitting an application.

Fill in each space with the name of the Health Plan for which you are applying. No Stamps or Copies Please

All information submitted by me in this application, as well as any attachments or supplemental information, is true, current, and complete to my best knowledge and belief as of the date of signature below. I fully understand that any significant misstatement in this application may constitute cause for denial of my application or termination of a resulting participation agreement.

| By application for membership in | | , I signify my willingness to appear for interview in |
|---|--------------|--|
| regard to my application. I authorize | ; | to consult with administrators and members of the |
| 1 | | hich I have been associated and with others, including past and present bearing on the questions in this application. Upon request, I will obtain and |
| provide to | | aterials pertaining to my qualifications and competence, including, materials |
| relating to complaints filed, any disc consent to the inspection by represent | | on, suspension, or action to curtail my medical- surgical privileges. I further of all documents that may be material to an |
| evaluation of my professional qualifi | ications and | competence. |

| I understand and agree that I, as an applicant, have the burden of producing adequate information for proper evalu | ation of my |
|--|-------------|
| professional competence, character, ethics, and other qualifications and for resolving any doubt about such qualifi | cations. I |
| release from liability all representatives of for their acts performed in good fai | th and |
| without malice in connection with evaluating my application and my credentials and qualifications, and I release the | rom any |
| liability, all individuals and organizations that provide information to in good | faith and |
| without malice concerning this application and I hereby consent to the release and verification of information rela | ting to any |
| disciplinary action, suspension, or curtailment of medical-surgical privileges to . | |

| I understand that if my application | on is rejected for reasons r | elating to my professio | nal conduct | t or competence, | |
|-------------------------------------|------------------------------|--------------------------|---------------|----------------------------|--------------|
| | , may report the rejection | to the appropriate state | e licensing l | ooard and/or National H | Practitioner |
| Data Bank. In the event I am acc | epted for participation in | | | , I hereby consent to | |
| | for inspection of my patie | ent records relating to | | | enrollees |
| as necessary for its peer and utili | zation review purposes as | permitted by state or f | ederal law a | and regulation I further | agree to |
| notify | in a timely man | ner (not to exceed 30 d | ays) of any | changes to the information | ation |
| on the initial application. | | | | | |

PRINT NAME OF PROVIDER

|--|

DATE

Please Sign and Complete this Application